

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Samuel SAWAN et al.

Application No.: 09/392,842

Confirmation No.: 1863

Filed: September 9, 1999

Art Unit: 1617

For: TOPICAL DERMAL ANTIMICROBIAL
COMPOSITIONS, METHODS FOR GENERATING
SAME, AND MONITORING METHODS
UTILIZING SAME

Examiner: K. D. Carter

Declaration of Dr. Samuel P. Sawan under 37 C.F.R. § 1.132

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I, SAMUEL P. SAWAN, do hereby declare and state the following:

1. I, Samuel P. Sawan, am a citizen of the United States, and I am more than twenty-one years of age.
2. I make this declaration in support of the above-identified application, U.S. Serial No. 09/392,842 ("the '842 application").
3. I am a named inventor of the '842 application.
4. I presently hold the position of President at Surfaccine Development Company LLC.
5. My qualifications as a scientist, and in particular in the field of polymer chemistry, are set forth on the copy of my curriculum vitae, which is attached as Exhibit A. I have worked with biocidal silver for over 20 years.
6. I have read and am familiar with the specification of the '842 application as filed, the Office Action mailed November 28, 2007, WO 97/00076 (Morlet), U.S. Pat. 5,374,432 (Fox), U.S. Pat. 5,576,006 (Smith), and WO 95/17152.
7. Smith teaches the use of polycarboxylic acids and functional biocides to reduce the water solubility of such functional biocides through the reaction of the two, where the combination provides a film upon the loss of the vehicle in which the reaction is carried out. Smith's examples, e.g. example 1, teaches the aqueous reaction of a

dicarboxylic acid and the antimicrobial compound Cosmocil in water. Such a reaction product is taught to be water soluble and film forming. Such compounds would be expected to have significant water solubility since they are prepared in water and form solutions in water. Indeed, release of the microbial into the water would be the expected mode of action to provide the stated antimicrobial effects. Therefore Smith is teaching how to prolong the release or dissolution of the biocide into water or hydrated environments.


8. Unlike the Smith invention, the claims in the '842 application are directed to compounds that are water insoluble complexes providing essentially no solubility in water. Such complexes may be formed as emulsions or microemulsions in water owing to their insignificant dissolution in water and the fact that they do not form true solutions. Films that result from the loss of vehicle in such systems have essentially no solubility in water as determined by analytical methods and microbiology testing.

9. Smith further teaches the use of a polycationic metal salt with a polycarboxylic acid [anionic] and a cationic antimicrobial compound, as in example 5. Such complexes are well known as acid base salts or Coulombic complexes. The preparation of insoluble polycarboxylic acids through the use of polycationic metals is well known to those skilled in the art. The polycarboxylic acid also contains the salt [acid - base or Coulombic complex] of the antimicrobial compound from which the antimicrobial action is obtained. Such complexes between acids and bases can be expected to dissociate in aqueous environments releasing significant levels of the antimicrobial compound attached to the polycarboxylic acid

10. Unlike the Smith invention, the teachings of the '842 application provide the coordination complexation of antimicrobial metals to nitrogen ligands, which results in water insoluble complexes. Coordination complexes are very different chemical entities than Coulombic complexes and are not formed by the same physical chemical interactions. The coordination complexes employed in '842 will show only a very small amount of dissociation in water with equilibria of the complexed and noncomplexed forms and therefore will not release the biocidal metal from the complex.

11. I further declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true. I further declare that these statements are made with the knowledge that the willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States code, and that such willful false statements may jeopardize the validity of the instant application or of any patent issued thereupon.

Respectfully submitted,

Dr. Samuel P. Sawan 

Date

5-28-08

Samuel P. Sawan, Ph.D.

Curriculum Vita

37 Beverlee Road
 Tyngsborough, MA 01879
 (508) 649-3055

Department of Chemistry
 University of Massachusetts, Lowell
 Lowell, MA 01854
 (508) 934-3680

Academic Experience

- 6/85-present: Professor of Chemistry, Polymer Science/Plastics Engineering Program,
 University of Massachusetts, Lowell
 9/86-7/89: Chairman, Department of Chemistry, University of Massachusetts, Lowell
 6/83-6/85: Associate Professor, University of Massachusetts, Lowell
 9/78-6/83: Assistant Professor, University of Massachusetts, Lowell

Research Areas (comprehensive)

1. Polysilane chemistry and photochemistry: development of novel polysilane polymers, photodegradative characteristics of silane polymers, nuclear magnetic characterization of polymer microstructure.
2. Biocompatibility of polymers: plasma polymerization - mechanism and characterization of plasma reactions, derivatization of polymer surfaces, coatings of naturally occurring and modified naturally occurring biopolymers.
3. Controlled release drug delivery: hydrolytically unstable polyesters for controlled degradation and release of pharmacologically active compounds.
4. Hydrogels: novel hydrogel compositions, UV curable hydrogels, binding of low molecular weight compounds to gels, thermoplastic hydrogel compositions.
5. Epoxy chemistry and rheology: rheological behavior of filled polymeric solutions, photochemistry in oxirane polymerizations, catalysts and accelerators for the curing of epoxies, formulations for increased performance in electronic devices.
6. Solution behavior of biopolymer analogues: lower consolute solution behavior of biopolymer models, e.g. poly(acrylamides) studied by nuclear magnetic resonance, solution viscosity and light scattering techniques.
7. Urethane chemistry and photochemistry: model urethane systems, thermal and photooxidative evaluation of aryl and alkyl polymers, stabilization of urethanes.
8. Environmentally responsive chemistry and process technologies based upon supercritical fluids.

Academic Activities

1. Currently directing the activities of a research group of 7 graduate students and postdoctoral associates
2. Previous Head, Department of Chemistry, 1986-89
3. Previous Lecturer on Radiology, Harvard Medical School (1980-1983).
4. Previous Director, Nuclear Magnetic Resonance Facility

Professional Societies

1. American Chemical Society
2. American Chemical Society, Polymer Chemistry Division
3. American Association for the Advancement of Science
4. Sigma Xi
5. International Union of Pure and Applied Chemistry
6. Society of Plastics Engineers
7. ARVO, Association for Research in Vision and Ophthalmology

Industrial Experience - Consultant

1. Andover Medical, Inc.
2. International Business Machines
3. Dynatech Corporation
4. GTI-Cryogenics
5. AVCO Corporation
6. Compo Industries
7. Davol, Inc.
8. Millipore Corporation
9. Polytechnics, Inc.
10. Digital Equipment Corporation
11. International Minerals Corporation
12. Optical Radiation Corporation
13. Medtronic, Inc.
14. Bose Corporation
15. Medi-tech, Inc.
16. MIT-Lincoln Laboratories
17. Metaphase Corporation
18. Integrated Design, Inc.
19. Advanced Cardiovascular Systems, Inc.
20. Biometric Systems, Inc.
21. Biomat S.N.C.
22. Mitek Surgical Products
23. IDEXX Laboratories
24. Cambridge Heart, Inc.
25. Synteni
26. Incyte Pharmaceuticals

Education

- 6/76-8/78 Postdoctoral Scholar
 Department of Pharmaceutical Chemistry
 University of California, San Francisco
 San Francisco, California
- Nuclear Magnetic Resonance Investigations of Enzyme Active Site Residues and Geometry
 Advisor: Dr. Thomas L. James
- 9/72-12/76 Ph.D., Polymer Science
 Department of Polymer Science
 University of Akron
 Akron, Ohio
- Ph.D. thesis: Nuclear Magnetic Resonance Studies of Synthetic Polypeptide Structures
 Thesis advisor: Dr. H. James Harwood

9/68-6/72 B.S., Chemistry
Department of Chemistry
University of Akron
Akron, Ohio

Publications

1. S.P. Sawan and H.J. Harwood, Amer. Chem. Soc., Polymer Div., Polymer Preprints, 17(2), 554 (1976). "Characterization of Stereosequence Distributions at Chain Ends of Synthetic Polypeptides by 300 MHz PMR Spectroscopy".
2. S.P. Sawan and T.L. James, J. Magn. Reson., 32, 173 (1978). "Use of a Plastic Tube Insert for NMR Experiments Entailing Hazardous Samples".
3. S.P. Sawan, T.L. James, L.D. Greunke and J.C. Craig, J. Magn. Reson., 35, 409 (1979). "Proton NMR Assignments for Cholesterol. Use of Deuterium NMR as an Assignment Aid".
4. S.P. Sawan, T. Suzuki, D.E. Mackey and H. James Harwood, Amer. Chem. Soc., Polymer Div., Polymer Preprints, 20, 657 (1979). "Detection of Polymeric Initiator Fragments in Synthetic Polypeptides Prepared by Strong Base Initiated N-Carboxyl Anhydride Polymerizations".
5. T.L. James and S.P. Sawan, J. Amer. Chem. Soc., 101, 7050 (1979). "Unfolding of Ribonuclease A by Guanidinium Chloride. Protein Internal Motion Studied by Nuclear Magnetic Resonance Spin-Lattice Relaxation in an Off Resonance Rotating Frame".
6. S.P. Sawan, W. Layne, R.D. Neirinckx and M.A. Davis, Amer. Chem. Soc., Polymer Div., Polymer Preprints, 21, 274 (1980). "Chelate Resins Specific for Germanium. The Development of an Ionic 68Ge-68Ga Generator for Nuclear Medicinal Applications".
7. S.P. Sawan, American Scientist, 70, 93 (1982). Book review. "Progress in Polymer Science", Vol 6, ed. by A.D. Jenkins.
8. R.D. Neirinckx, W. Layne, S.P. Sawan and M.A. Davis, Int. J. Appl. Radiat. Isot., 33, 259 (1982). "Development of an Ionic 68Ge \rightarrow 68Ga Generator III. Chelate Resins as Chromatographic Substrates for Germanium."
9. A. Taylor, S.P. Sawan and T.L. James, J. Biol. Chem., 257, 11571 (1982). "Structural Aspects of the Inhibitor Complex Formed by N-(Leucyl)-o-aminobenzene Sulfonate and Manganese of Zn+2 Mn+2 Leucine Aminopeptidase".
10. S.P. Sawan, American Scientist, 71, 89 (1983). Book review. "Interpenetrating Polymer Networks", L.H. Sperling.
11. R.L. Bernard and S.P. Sawan, Amer. Chem. Soc., Polymer Div., Polymer Preprints, 24(1), 178 (1983). "Matrix Supported Polymerization of L-Phenylalanine Using Triphenyl Phosphite".
12. M.A. Galin, Y. Asano, A. El Maghraby, S.P. Sawan, J.C. Salamone and D. Tassone, American Intraocular Implant Society, Am. Intra-Ocular Implant Soc. J., 9, 290 (1983). "Studies of Residual Alkali on Intraocular Lenses Sterilized with NaOH".
13. J.C. Salamone, C.C. Tsai, Q. Anwaruddin, A.P. Olson, M. Arnold, T. Nagabhushanam, S.P. Sawan and A.C. Watterson, J. Polym. Sci., Polym. Chem. Ed., 22, 2005 (1984). "Solution Behavior of Ampholytic Styrene Ionomers".
14. K.P. Muni and S.P. Sawan, Amer. Chem. Soc., Polymer Div., Polymer Preprints, 27(1), 284 (1986). "Rheological Properties of Silica Filled Phenoxy Solutions".
15. N.B. Upadhyay and S.P. Sawan, Amer. Chem. Soc., Polymer Div., Polymer Preprints, 27(1), 253 (1986). "Aqueous Solution Properties of Poly(N-Ethyl Methacrylamide)".
16. S.P. Sawan, K.P. Muni and S.M. Svelinis, Amer. Chem. Soc., Polymer Div., Polymer Preprints, 27(2), 278 (1986). "Viscometric Properties of Phenoxy Solutions Containing Hydrophilic or Hydrophobic Fumed Silica".
17. S.P. Sawan, Y.G. Tsai, H.Y. Huang, Amer. Chem. Soc., Polymer Div., Polym. Preprints, 28(1), 260 (1987). "Characterization of Copolydiorganosilanes with Varying Compositions".
18. S.P. Sawan and S. Srinivasan, Amer. Chem. Soc., Polymer Div., Polym. Preprints, 28(1), 194 (1987). "Plasma Polymerization of Organosiloxanes".
19. S.P. Sawan, Y.G. Tsai, and H.Y. Huang, Amer. Chem. Soc., Symposium Volume 360, Inorganic and Organometallic Polymers, eds M. Zeldin, K.J. Wynne and H.R. Allcock, 1988, pp 112-123. "Characterization of Copolydiorganosilanes with Varying Compositions".

20. S.P. Sawan, Y.G. Tsai and H.Y. Huang, *Advances in Silicon-Based Polymer Science*, Makaha, Oahu, Hawaii, November 1987, abstract, "Physical Properties of Photoactive Polysilane Copolymers".
21. S.P. Sawan and J.J. Barry, *Amer. Chem. Soc., Polymer Div., Polym. Preprints*, **29**, 299 (1988). "Quantitation of Poly(d,l-Lactic Acid) Degradation Products by HPLC".
22. S. P. Sawan, Y.G. Tsai and H.Y. Huang, *Amer. Chem. Soc., Polymer Div., Polym. Preprints*, **29**, 252 (1988). "Light Scattering Studies of Polysilane Copolymers".
23. S.P. Sawan, Y.G. Tsai, H.Y. Huang and T.M. Hsu, *Amer. Chem. Soc., Polymer Div., Polym. Preprints*, **29**, 297 (1988). "Thermal Characterization of Polysilane Copolymers".
24. S.P. Sawan, *Polymer Science and Plastics Technology Symposium Proceedings*, 1988, abstract, "A Perspective of the Department of Chemistry at the University of Lowell".
25. S.P. Sawan, Y.G. Tsai, H.Y. Huang and C.C. Conley, *Polymer Science and Plastics Technology Symposium Proceedings*, 1988, abstract, "Synthesis and Characterization of Copolysilanes".
26. S.P. Sawan, K.P. Muni, S. Kitchloo and P. Schuessler, *Society of Plastics Engineers, 46th Annual Technical Conference, Proceedings*, 465 (1987). "Photooxidation of Polyurethane Conformal Coatings".
27. S.P. Sawan, Y.G. Tsai, H.Y. Huang and C.C. Conley, *Proceedings for the 15th Annual National Organization for the Professional Development of Black Chemists and Chemical Engineers (NOBCCHE)*, 1988, abstract, "Polysilanes as Novel Self-Developing Photoresists".
28. S.P. Sawan, K. Muni and J. Figlan, *Society of Plastics Engineers, 47th Annual Technical Conference, Proceedings*, 465 (1989), abstract and paper, "Rheological Properties of Epoxy Solder Mask Coatings".
29. S.P. Sawan, K. Muni and J. Figlan, *Plastics Engineering*, **45(3)**, 44(1989). Abstract, "Rheological Properties of Epoxy Solder Mask Coatings".
30. R.R. Kunz, S.P. Sawan, M. Rothschild, Y.G. Tsai and D.J. Ehrlich, *The 33rd International Symposium on Electron, Ion and Photon Beams*, 1989, abstract and paper, "Controlled Ambient Exposure of Polysilane Resists at 193 nm".
31. S.P. Sawan and H. Dollinger, *USA - Italy Joint Workshop on Polymers for Biomedical Applications*, 1989, abstract and paper, "Controlled Release Drug Matrices Composed of Poly(d,l-Lactic Acid) Blended with Ethylene/Vinyl Acetate Copolymer".
32. H.M. Dollinger and S.P. Sawan, *Amer. Chem. Soc., Polymer Div., Polym. Preprints*, **31(1)**, 429 (1990). "Novel Poly(d,l-Lactic Acid) - Ethylene/Vinyl Acetate Blends for Controlled Release Applications".
33. P. Dave, S.C. Israel and S.P. Sawan, *Amer. Chem. Soc., Polymer Div., Polym. Preprints*, **31(1)**, 566 (1990). "Thermal Pyrolysis of Polysilanes".
34. P. Kitchloo, S.P. Sawan, J.C. Salamone and S.C. Israel, *Amer. Chem. Soc., Polymer Div., Polym. Preprints*, **31(1)**, 424 (1990). "Development of a Hydrolytically Unstable Albumin Coating for Controlled Release and Biocompatible Coatings".
35. R.R. Kunz, M. Rothschild, D.J. Ehrlich, S.P. Sawan and Y.G. Tsai, *J. Vac. Sci. Technol.* **B7(6)**, 1629 (1989). "Controlled Ambient Photolithography of Polysilane Resists at 193 nm".
36. H.M. Dollinger and S.P. Sawan, *Amer. Chem. Soc., Polymer Div., Polym. Preprints*, **31(2)**, 211 (1990). "Bicontinuous Controlled Release Matrices Composed of Poly(d,l-Lactic Acid) Blended with Ethylene/Vinyl Acetate Blends Copolymer".
37. T.M. Hsu, Y.T. Shieh and S.P. Sawan, abstract, *Second International Symposium on Advances in Silicon-Based Polymer Science*, Makaha, Oahu, Hawaii, December 1990. "Differential Photocalorimetric Studies of Hydropolysilanes".
38. J.H. Su, J. Lavine and S.P. Sawan, abstract, *Second International Symposium on Advances in Silicon-Based Polymer Science*, Makaha, Oahu, Hawaii, December 1990. "Hydropolysilanes as Negative Tone Photoresists".
39. T.M. Hsu and S.P. Sawan, abstract, *Second International Symposium on Advances in Silicon-Based Polymer Science*, Makaha, Oahu, Hawaii, December 1990. "Synthesis and Photooxidation of Hydropolysilanes".
40. T.M. Hsu and S.P. Sawan, abstract, *Second International Symposium on Advances in Silicon-Based Polymer Science*, Makaha, Oahu, Hawaii, December 1990. "Thermal Sensitivity of Hydropolysilanes".

41. T.M. Hsu and S.P. Sawan, abstract, Second International Symposium on Advances in Silicon-Based Polymer Science, Makaha, Oahu, Hawaii, December 1990. "Optical Properties and UV Photosensitivity of Hydropolysilanes".
42. Y.I. Lee, F.G. Wakim and S.P. Sawan, abstract, Second International Symposium on Advances in Silicon-Based Polymer Science, Makaha, Oahu, Hawaii, December 1990. "Electrical Conductivity of Various Polysilanes".
43. H.S. Hsieh, J. Lavine, S.P. Sawan and T. Hongsatip, abstract, Second International Symposium on Advances in Silicon-Based Polymer Science, Makaha, Oahu, Hawaii, December 1990. "Photolithographic Studies of Polysilane Polymers in Reactive Ambients".
44. H.M. Dollinger and S.P. Sawan, in *Polymeric Drugs and Drug Delivery Systems*, "Bicontinuous Controlled Release Matrices Composed of Poly(D,L- Lactic Acid) Blended with Ethylene-Vinyl Acetate Copolymer", edited by R.L. Dunn and R.M. Ottenbrite, Amer. Chem. Soc., Symposium Series, 1991, pp 181-193.
45. Y.I. Lee, T.M. Hsu, F.G. Wakim and S.P. Sawan, Amer. Chem. Soc., Polymer Div., Polym. Preprints, 32(3), 228 (1991). "A Highly-Conducting Hydropolysilane Copolymer; Synthesis and Electrical Conductivity".
46. Y.I. Lee, S.P. Sawan, T.L. Thiem, Y.Y. Teng and J. Sneddon, *Applied Spectroscopy*, 46(3), 436 (1992). "Interaction of a Laser Beam with Metals. Part II: Space Resolved Studies of Laser Ablated Plasma Emission".
47. T. M. Hsu and S. P. Sawan, Amer. Chem. Soc., Polymer Div., Polym. Preprints, 33(1), 1040 (1992). "Synthesis and Characterization of Linear and Branched Hydropolysilane Copolymers and Terpolymers".
48. T. M. Hsu and S. P. Sawan, Amer. Chem. Soc., Polymer Div., Polym. Preprints, 33(1), 1038 (1992). "Photooxidative Crosslinking of Linear and Branched Hydropolysilanes".
49. T. M. Hsu, J. H. Su and S. P. Sawan, Amer. Chem. Soc., Polymer Div., Polym. Preprints, 33(1), 1036 (1992). "Photosensitivity of Linear and Branched Hydropolysilanes".
50. T. M. Hsu and S. P. Sawan, Amer. Chem. Soc., Polymer Div., Polym. Preprints, 33(1), 1034 (1992). "Thermal Sensitivity and Degradation of Linear and Branched Hydropolysilanes".
51. T. M. Hsu and S. P. Sawan, Amer. Chem. Soc., Polymer Div., Polym. Preprints, 33(1), 1032 (1992). "Derivatization Reactions of Hydropolysilanes".
52. T. M. Hsu and S. P. Sawan, Amer. Chem. Soc., Polymer Div., Polym. Preprints, 33(1), 1030 (1992). "Synthesis of Polysilanes Containing Electron Withdrawing Substituents".
53. Jan-Hon Su, Tsun-Min Hsu, Samuel P. Sawan and Jerome Lavine, Amer. Chem. Soc., Polymer Div., Polym. Preprints, 33(1), 1046 (1992). "An Evaluation of Hydropolysilanes as Negative Tone Photoresists".
54. Y. T. Shieh, S. P. Sawan, and J. B. Milstein, Amer. Chem. Soc., Polymer Div., Polym. Preprints, 33(1), 1044 (1992). "Hydropolysilanes as Precursors to Silicon Carbide".
55. Y. T. Shieh and S. P. Sawan, Amer. Chem. Soc., Polymer Div., Polym. Preprints, 33(1), 1042 (1992). "An Evaluation of Crosslinking of Hydropolysilanes for Use as Silicon Carbide Precursors".
56. S. Kim and S.P. Sawan, Amer. Chem. Soc., Polymer Div., Polym. Preprints, 33(1), 1028 (1992). "Development of Thermoplastic Liquid Crystal Copolyester Fiber and Polyimide Composite Materials".
57. J.M. Lavine, S.P. Sawan, Y.T. Shieh and A.J. Bellezza, *Appl. Phys. Lett.*, 62(10), 1099 (1993). "The Role of Si-H and Si-H₂ in the Photoluminescence of Porous Si".
58. S.P. Sawan, H.M. Dollinger and H.W. Horne, "Contraceptive Intracervical Device and Novel Nonsystemic Agents for the Prevention of Conception and Disease", US Patent 5,224,493 (1993).
59. J.R. Wu, P. Chiang, K.P. Li and S.P. Sawan, "Monitoring of Silver Release from Polymeric Matrices by Graphite Furnance Atomic Absorption Spectrometry", *Spectroscopy News*, [1994].
60. S.P. Sawan, Y.T. Shieh and J.H. Su, "Evaluation of the Interactions Between Supercritical Carbon Dioxide and Polymeric Materials", Los Alamos Technical Report, LA-UR-94-2341, 1994.

61. Y.T. Shieh and S.P. Sawan, "Thermal Stability and Crosslinking of Hydropolysilanes for Use as Silicon Carbide Precursors", *J. Polym. Res.*, 1(4), 367-374, 1994.
62. R.R. Wu, P. Chiang, K.P. Li and S.P. Sawan, "An Analytical Evaluation on the in vitro Release of Metal Ions from Metal Implanted Polymeric Materials for Biomedical Applications", *J. Formosan Science*, 47(1), 9-16 (1994).
63. Y.T. Shieh, J.H. Su, G. Manivannan, P.H.C. Lee, S.P. Sawan and W.D. Spall, "Interaction of Supercritical Carbon Dioxide with Polymers: 1. Crystalline Polymers", *J. Appl. Polym. Sci.*, 59, 695, 1995.
64. Y.T. Shieh, J.H. Su, G. Manivannan, P.H.C. Lee, S.P. Sawan and W.D. Spall, "Interaction of Supercritical Carbon Dioxide with Polymers: 2. Amorphous Polymers", *J. Appl. Polym. Sci.*, 59, 707, 1995.
65. G. Manivannan and S.P. Sawan, "Ungluing by Supercritical Fluids", *Adhesives Age*, 38(10), 34-36 (1995).
66. S.P. Sawan, T. Shalon, S. Subramanyam and Y. Yurkovetskiy, World Patent Application, "Liquid Dispenser for Sterile Solution", submitted, 1995.
67. Y.T. Shieh and S.P. Sawan, "Hydropolysilanes as Precursors to Silicon Carbide", *J. Appl. Polym. Sci.*, 58, 2013(1995).
68. S.P. Sawan, T. Shalon, S. Subramanyam and Y. Yurkovetskiy, "Liquid Dispenser for Sterile Solution", US Patent 5,490,938, 1996.
69. Y.T. Shieh and S.P. Sawan, "Crosslinking of Hydropolysilanes for Use as Silicon Carbide Precursors", *Eur. Polym. J.*, 32(5), 625 (1996).
70. Y.T. Shieh and S.P. Sawan, "Conductivities of Polysilanes", accepted, *J. Appl. Polym. Sci.*, 1996.
71. S.P. Sawan and S.A. Ekhurutomwen, "Critical Review on Photoresists", *Critical Reviews of Optical Science and Technology, Polymers in Optics. Physics, Chemistry and Applications*, CR 63, 214-238 (1996).
72. S.P. Sawan and S.A. Ekhurutomwen, "Polysilanes; An Overview", *Polymeric Materials Encyclopedia*, CRC Press, 1867-1879 (1996).
73. S. Subramanyam, A. Yurkovetskiy and S. P. Sawan, "An Intelligent Antimicrobial Coating for Medical Devices and Health Care", *Symposium Notebook, Surfaces in Biomaterials*, 39-44 (1996).
74. F.J. Kao, K.E. Laintz, S.P. Sawan, L.D. Sivils, and W.D. Spall, "Dense Gas Compatible Enzymes", US Patent 5,783,627 (1998).
75. Y.T. Shieh and S.P. Sawan, "Conductivities of Polysilanes", *J. Appl. Polym. Sci.*, 62, 1723-1728(1996).
76. F.J. Kao, G. Manivannan, S.P. Sawan, "UV Curable Bioadhesives: Copolymers of N-Vinyl Pyrrolidone", *J. Biomed. Mat. Res. [Appl. Biomaterials]*, 38, 191 (1997).
77. S.P. Sawan, T. Shalon, S. Subramanyam and Y. Yurkovetskiy, "Filter Coated with Antimicrobial Material Liquid Dispenser for Sterile Solution", US Patent 5,681,468 [1997].
78. F.J. Kao, S.A. Ekhurutomwen and S.P. Sawan, "Residual stability of Lipase from *Candida rugosa* in hexane, supercritical CO₂ and supercritical SF₆, *Biotechnology Techniques*, 11(12), 849, 1997.
79. S.P. Sawan, S. Subramanyam and Y. Yurkovetskiy, "Contact Killing Antimicrobial Devices", US Patent 5,817,325 [1998].
80. J. McHardy and Samuel P. Sawan, editors, Cleaning with Supercritical Fluids, Noyes Publications, 1998.
81. S.P. Sawan, W.D. Spall and A. Talhi, "Method of Cleaning Plastics using Super and Subcritical Media", US Patent 5,756,657, 1998.
82. G. Manivannan and S.P. Sawan, "The Supercritical State", Cleaning with Supercritical Fluids, Noyes Publishing, 1998.
83. S.A. Ekhurutomwen and S.P. Sawan, "Synthesis, functional modification and characterization of polysilane copolymers for enhanced photosensitivity and photobleaching", *Proc. SPIE-The International Society for Optical Engineering*, Vol. 3282, 59-67, 1998.
84. S.P. Sawan, A. Talhi and C.M.V. Taylor, "Polymers with Increased Order", US Patent 5,798,438 [1998].

85. S.P. Sawan, Y.T. Shieh, J.H. Su and W.D. Spall, "Evaluation of Supercritical Fluid Interactions with Polymeric Materials", Cleaning with Supercritical Fluids, Noyes Publishing, 1998.
86. S.P. Sawan, S. Subramanyam and Y. Yurkovetskiy, "Contact Killing Antimicrobial Devices", US Patent 5,817,325 [1998].
87. S.P. Sawan, S. Subramanyam and Y. Yurkovetskiy, "Contact-Killing Non-leaching Antimicrobial Materials", US Patent 5,849,311 [1998].
88. S.P. Sawan, T. Shalon, S. Subramanyam and Y. Yurkovetskiy, "Antimicrobial Liquid Compositions and Methods for Using Them", US Patent 5,869,073 [1999].
89. S.P. Sawan, W.D. Spall, H.C. Lee, "Modification of Polymeric Substrates Using Dense or Liquefied Gases", US Patent 5,968,654 (1999).
90. G. Manivannan and S. P. Sawan, editors, Antimicrobial and Anti-infective Materials: Principles, Applications and Devices, Technomic Publishing, 2000.
91. G. Manivannan and S. P. Sawan, "Perspectives on Infectious Disease Challenges and Antimicrobial Answers", 1-22, Antimicrobial and Anti-infective Materials: Principles, Applications and Devices, Technomic Publishing, 2000.
92. S. Subramanyam, Y. Yurkovetskiy, D. Hale and S. P. Sawan, "A Chemically Intelligent Infection-Resistant Coating", 220-239, Antimicrobial and Anti-infective Materials: Principles, Applications and Devices, Technomic Publishing, 2000.
93. G. Manivannan, S.P. Sawan, V.P. Pharm, R.A. Lessard, "Fabrication of photopolymer information storage materials through supercritical fluid technology", Proceedings of SPIE, 3956, 39 (2000).
94. C.M. Lisy, M.J. Brady, J.F. Hamberger, S. Garib, G. Manivannan, F. Liu, Y. Yurkovetskiy, S. Subramanyam and S.P. Sawan, "A Comparative Evaluation of the Residual Antimicrobial Activity of Disinfectant Products", *Infection Control and Hospital Epidemiology*, 21(2), 102 (2000).
95. S.P. Sawan, T. Shalon, S. Subramanyam and Y. Yurkovetskiy, "Non-leaching antimicrobial films", US Patent 6,030,632 [2000].
96. S. Subramanyam, A. Yurkovetskiy, D. Hale and S.P. Sawan, "A Chemically intelligent Antimicrobial Coating for Urologic Devices", *J. Endourology*, 14(1), 43 (2000).
97. S. P. Sawan, S. Subramanyam, A. Yurkovetskiy, "Contact Killing Antimicrobial Devices", US Patent 6,126,931 (2000).
98. S.P. Sawan, S. Subramanyam and A. Yurkovetskiy, "Disinfectant Composition Providing Sustained Residual Biocidal Action", US Patent 6,180,584 [2001].
99. S.P. Sawan, S. Subramanyam and Y. Yurkovetskiy, "Contact-Killing Non-leaching Antimicrobial Materials", US Patent 6,264,936 [2001].
100. P.H. Lee, S.P. Sawan, Z. Modrusan, L.J. Arnold and M.A. Reynolds, "An Efficient Binding Chemistry for Glass Polynucleotide Microarrays", *Bioconjugate Chemistry* 13(1), 97-103 [2002].
101. L.J. Arnold, S.P. Sawan, P.H. Lee, "Polymer Coated Surfaces for Microarray Applications", US Patent 6,387,631 [2002].
102. L.J. Arnold, S.P. Sawan, P.H. Lee, "Polymer Coated Surfaces for Microarray Applications", US Patent 6,413,722 [2002].
103. M.J. Brady, C.M. Lisy, A.V. Yurkovetskiy and S.P. Sawan, "A Persistent Silver Disinfectant for the Environmental Control of Pathogenic Bacteria", *Am J Infection Control* 31(4), 208-214 (2003).
104. S.P. Sawan and M.J. Brady, "Persistent Silver Disinfection for the Environment: Myth and Reality: Response", *Am. J. Infection Control* 32(5), 309-311 (2004).

Presentations

1. "Carbon Magnetic Resonance of N-Carboxyl Anhydrides and Oligomers Derived from Them", First Annual Federation of Analytical Chemistry and Spectroscopy Societies Meeting, Indianapolis, Indiana, October, 1975.
2. "Evaluation of Chain End Configurations in Synthetic Polypeptides by 300 MHz PMR Spectroscopy", American Chemical Society Midwest Regional Meeting, Akron, Ohio, May, 1976.

3. "Characterization of Stereosequence Distributions at Chain Ends of Synthetic Polypeptides by 300 MHz PMR Spectroscopy", American Chemical Society National Meeting, San Francisco, California, August, 1976.
4. "Cadmium-113 Nuclear Magnetic Resonance Studies of Cadmium (II) Complexes of Amino Acids and Peptides", American Chemical Society Western Regional Meeting, Anaheim, California, October, 1977.
5. "Stereoselection in the Polymerization of Valine N-Carboxyanhydride", NATO Advanced Study Institute on Stereoregular Polymers, Tirenja, Italy, October, 1978.
6. "Stepwise Denaturation of Ribonuclease by Guanidinium Hydrochloride Studied by NMR Rotating Frame Spin-Lattice Relaxation in the Presence of an Off-Resonance Radiofrequency Field", American Chemical Society National Meeting, Honolulu, Hawaii, April, 1979.
7. "Detection of Polymeric Initiator Fragments in Synthetic Polypeptides Prepared by Strong Base Initiated N-Carboxyl Anhydride Polymerizations" American Chemical Society National Meeting, Washington, D.C., September, 1979.
8. "Chelate Resins Specific for Germanium: The Development of an Ionic Ge-68 \rightarrow Ga-68 Generator for Nuclear Medicinal Applications", American Chemical Society National Meeting, Las Vegas, Nevada, September, 1980.
9. "Controlled Release of Bioactive Agents", invited speaker at International Minerals Corporation, Terre Haute, Indiana, March, 1981.
10. "Studies of Enzyme Active Site Residues and Structures", Invited speaker at Dynatech Corporation, Cambridge, Massachusetts, February, 1983.
11. "Matrix Supported Polymerization of L-Phenylalanine Using Triphenyl Phosphite", American Chemical Society Meeting, Seattle, Washington, March, 1983.
12. "UV Modifiable Epoxy Resins", invited speaker at International Business Machines, Austin, Texas, February, 1984.
13. "Ultraviolet Absorbers for Intraocular Lenses", American Intraocular Implant Society Meeting, American International IOL Congress, Boston, Massachusetts, April, 1985.
14. "Rheological Properties of Silica Filled Phenoxy Solutions", American Chemical Society Meeting, New York, New York, April, 1986.
15. "Aqueous Solution Properties of Poly(N-Ethyl Methacrylamide)", American Chemical Society Meeting, New York, New York, April, 1986.
16. "Viscometric Properties of Phenoxy Solutions Containing Hydrophilic or Hydrophobic Fumed Silica", American Chemical Society Meeting, Anaheim, California, September, 1986.
17. "Viscometric Properties of Phenoxy Solutions Containing Hydrophilic or Hydrophobic Fumed Silica", S.P. Sawan, K. M. Muni and S.M. Svelinis, American Chemical Society Meeting, New York, New York, April, 1986.
18. "Characterization of Copolydiorganosilanes with Varying Compositions", S.P. Sawan, Y.G. Tsai, H.Y. Huang, American Chemical Society Meeting, Denver, Colorado, April, 1987.
19. "Plasma Polymerization of Organosiloxanes", S.P. Sawan and S. Srinivasan, American Chemical Society Meeting, Denver, Colorado, April, 1987.
20. "Plasma Polymerization for Biocompatible Surfaces", S.P. Sawan, invited speaker, Medtronic, Inc., Minneapolis, Minnesota, June, 1987.
21. "Photooxidation of Methylene Bisocycyanate Based Urethanes", S. P. Sawan, K. Muni and S. Kitchloo, invited paper, Minnowbrook Microelectronics Workshop, Blue Mountain Lake, New York, September 1987.
22. "Biopolymer Coatings for Medical Device Applications", invited speaker, Medi-Tech, Inc., Watertown, Massachusetts, October 1987.
23. "Physical Properties of Photoactive Polysilane Copolymers", S.P. Sawan, Y.G. Tsai and H.Y. Huang, Advances in Silicon-Based Polymer Science, Makaha, Oahu, Hawaii, November 1987.
24. "Polysilanes as Positive Self Developing Photoresists", C. Conley, S.P. Sawan, Y.G. Tsai, and H.Y. Huang, Polymer Science and Plastics Technology Symposium, Sturbridge, MA, March 3, 1988.
25. "Synthesis and Characterization of Copolysilanes", S.P. Sawan, Y.G. Tsai, H.Y. Huang and C. Conley, poster session, Polymer Science and Plastics Technology Symposium, Sturbridge, MA, March 3, 1988.

26. "Rheological Properties of Epoxy Solder Mask Coatings for Electronic Applications", S.P. Sawan and K.P. Muni, poster session, Polymer Science and Plastics Technology Symposium, Sturbridge, MA, March 3, 1988.
27. "Photooxidation of Polyurethane Conformal Coatings", S.P. Sawan, K.P. Muni, S. Kitchloo and P. Schuessler, Society of Plastics Engineers, 46th Annual Technical Conference, Atlanta, GA, April 18-21, 1988.
28. "Polysilanes as Novel Self-Developing Photoresists", S.P. Sawan, Y.G. Tsai, H.Y. Huang and C.C. Conley, presentation, National Organization for the Professional Development of Black Chemists and Chemical Engineers (NOBCCHE), Philadelphia, PA, April 6, 1988.
29. "Quantitation of Poly(d,L-Lactic Acid) Degradation Products by HPLC", poster presentation, S.P. Sawan and J.J. Barry, American Chemical Society meeting, Toronto, Canada, June, 1988.
30. "Light Scattering Studies of Polysilane Copolymers", poster presentation, S. P. Sawan, Y.G. Tsai and H.Y. Huang, American Chemical Society meeting, Toronto, Canada, June, 1988.
31. "Thermal Characterization of Polysilane Copolymers", poster presentation, S.P. Sawan, Y.G. Tsai, H.Y. Huang and T.M. Hsu, American Chemical Society meeting, Toronto, Canada, June, 1988.
32. "Polysilanes, Silicon Backboned Polymers: New Ideas, Applications and Theoretical Interests", S.P. Sawan, invited speaker, Medtronics, Inc., September 19, 1988.
33. "Polysilanes, Silicon Backboned Polymers: New Ideas, Applications and Theoretical Interests", S.P. Sawan, invited speaker, American Chemical Society, Minnesota Section, September 20, 1988.
34. "Controlled Release Drug Matrices Composed of Poly(d,L-Lactic Acid) Blended with Ethylene/Vinyl Acetate Copolymer", S.P. Sawan and H.M. Dollinger, USA-Italy Joint Workshop on Polymers for Applications, Capri, Italy, June 11-16, 1989.
35. "Self Developing Photolithography Using Polysilanes with SubMicron Applicability", S.P. Sawan, invited speaker, Digital Equipment Corporation, Andover and Hudson facilities, June 13, 1989.
36. "Biocompatible Surfaces: Design, Characterization and Applications", Technomic Publishing Co., Boston, MA, May 20 - 22, 1991.
37. "Biocompatible Surfaces: Design, Characterization and Applications", Technomic Publishing Co., Basel, Switzerland, October 28 - 30, 1991.
38. "The Use of Supercritical Fluids as Substitutes for Cleaning Solvents", invited speaker, New England Environmental Expo '92, April 30, 1992.
39. "The Use of Supercritical Fluids as Substitutes for Cleaning Solvents", invited speaker, Pollution Prevention Conference and Exposition, Warwick, RI, September 30 - October 1, 1992.
40. "Polysilanes as Photoresist Materials", invited speaker, Texas Instruments technology seminar, March, 1992.
41. "Research on the Effects of Supercritical Carbon Dioxide on Polymers", J.M. Moses and S.P. Sawan, 15th Digital Cleanroom-Cleaning Technology Conference, Chicago, IL, November 10, 1992.
42. "Supercritical Carbon Dioxide Interactions with Polymers", S.P. Sawan, Los Alamos Technical Conference, Los Alamos, NM, 1994.
43. "Polysilanes as Photoresists", S.P. Sawan, University of Dayton invited seminar presentation, October, 1994.
44. "Supercritical Fluids in Polymer Science", S.P. Sawan, invited speaker, ISCO Corporation, St. Paul, MN, January 31, 1995.
45. "Supercritical Fluids for Precision Cleaning Applications", S.P. Sawan, invited speaker, Toxics Use Reduction Planner Continuing Education Conference, February 28, 1995.
46. "Supercritical Fluids in Polymer Science", S.P. Sawan, invited speaker, Polaroid Corporation, May 11, 1995.
47. "Interaction of Supercritical Fluids with Crystalline and Amorphous Polymers", S.P. Sawan, IVth Pacific Polymer Conference, American Chemical Society, Polymer Biennial Symposium, December 12-16, 1995.

48. "Critical Review on Photoresists " S.P. Sawan and S.A. Ekhurutomwen, The International Society for Optical Engineering (SPIE) meeting, Denver, CO, August 5-6, 1996.
49. "An Intelligent Antimicrobial Coating for Medical Devices and Health Care", S. Subramanyam, A. Yurkovetskiy and S. P. Sawan, Surfaces in Biomaterials, September 5-7, 1996.
50. S.A. Ekhurutomwen and S.P. Sawan, Synthesis, functional modification and characterization of polysilane copolymers for enhanced photosensitivity and photobleaching", SPIE [International Society for Optical Engineering], January 24-30, 1998.
51. EKHORUTOMWEN, S. A., SAWAN, S. P., "Synthesis, functional modification and characterization of polysilane copolymers for enhanced photosensitivity and photobleaching", Proc. SPIE-The International Society for Optical Engineering, Vol. 3282, 59-67, 1998.

Grants and Contracts

1. Principal Investigator, American Chemical Society Grant, Sequence Distribution and Solution Properties of Synthetic Polypeptides, September 1979 through August 1980.
2. NATO Conference Attendee, Advanced Study Institute on Advances in the Preparation and Properties of Stereoregular Polymers, October 1978.
3. Co-Principal Investigator, Xerox Corporation Grant, Technical Support and Materials Evaluation Assistance, March 1981 through March 1982.
4. Principal Investigator, United Charitable Trust Award, New Agents for the Diagnosis of Neoplastic Disease, March 1981 through March 1982.
5. Principal Investigator, International Business Machines Grant, Epoxy Resin Investigations, January 1982 through February 1983.
6. Principal Investigator, Compo Industries Grant, Poly(vinyl chloride) Plastisols, June 1982 through September 1983.
7. Principal Investigator, Dynatech Corporation with Dr. Horne, Controlled Release of Bactericidal and Spermicidal Agents in Vivo, June 1983 through June 1985.
8. Co-Principal Investigator, Ophthalmological Foundation of America, Inc., Contact Angle Measurement and Surface Wetting Improvements in Intraocular Lenses, October 1982 through June 1984.
9. Principal Investigator, International Business Machines Grant, Rheological Properties and Formulations of Epoxy Resins, January 1983 through January 1984.
10. Co-Principal Investigator, Surgidev Corporation Contract, Evaluation of Plastics Used in Intraocular Lenses, April 1983 through January 1984.
11. Principal Investigator, Kontron Corporation, Evaluation of Urethane Elastomers for Catheters, January 1984 through June 1984.
12. Principal Investigator, Gillette Corporation Contract, Characterization of High Impact Polystyrene, March 1984 through July 1984.
13. Principal Investigator, Andover Medical Inc. Grant, Development of Novel Hydrogels, October 1983 through October 1984.
14. Principal Investigator, International Business Machines grant, NMR Studies on Polyimides, July 1984 through June 1985.
15. Principal Investigator, International Business Machines Grant, Chemical and Rheological Developments in Epoxy Coatings, March 1984 through April 1985.
16. Principal Investigator, Upaco Adhesives, Investigations on Urethane Prepolymers, August 1983 through February 1986.
17. Co-Principal Investigator, Ophthalmological Foundation of America, Inc., Investigations on Derivatives of Pilocarpine and Isopilocarpine, July 1984 through June 1986.
18. Principal Investigator, Optical Radiation Corporation, Ultraviolet Light Absorption in Poly(Methyl Methacrylate) Polymers, October 1984 through October 1985.
19. Principal Investigator, International Business Machines grant, Novel Ultraviolet Induced Oxirane Polymerizations, May 1985 through September 1986.
20. Principal Investigator, Digital Equipment Corporation, Polysilane Photopolymers, January 1986 through September 1986.
21. Principal Investigator, Digital Equipment Corporation, Molecular Modeling of Polysilane Polymers, September 1986 through August 1987.

22. Principal Investigator, Digital Equipment Corporation, Preparation of Polysilane Photopolymers, September 1986 through August 1987.
23. Principal Investigator, International Business Machines, Polyurethane Chemistry in Printed Circuit Board Manufacturing, October 1986 through September 1987.
24. Principal Investigator, Medtronic, Inc., Plasma Polymerization for Biocompatible Surfaces, September 87 through May 1988.
25. Principal Investigator, Andover Medical, Inc., Residual Monomer Determination in Hydrogel Compositions, June 1987 through December 1987.
26. Principal Investigator, Massachusetts Centers of Excellence Corp. (MCEC), Molecular Modeling of Polysilane Photo-polymers, June 1987 through May 1991.
27. Principal Investigator, Digital Equipment Corporation, Novel Polysilane Photopolymers, June 1987 through May 1991.
28. Principal Investigator, International Business Machines, Adverse Properties in Process Polymers, January 1988 through December 1988.
29. Principal Investigator, Medtronic, Inc. Photochromic Polymers for Fiber-Optic Sensors, June 1988 through May 89.
30. Principal Investigator, International Business Machines, Adverse Process Chemistry Related to Flux - Electronic Materials Interactions", January 89 through December 89.
31. Principal Investigator, Bose Corporation, High Modulus and Low Density Polymeric Structures for High Technology Applications, June 89 through May 91.
32. Principal Investigator, Medtronic, Inc., Bakken Research Center, Surface Modification for Increased Biocompatibility, May 89 through June 90.
33. Principal Investigator, Population Council and CADCO, Inc., Biodegradable Polymers for Controlled Release, July 89 through June 90.
34. Principal Investigator, Advanced Cardiovascular Systems, Inc., Evaluation of Medical Grade Polyurethane, January 90 through August 90.
35. Principal Investigator, Medtronic, Inc., Plasma Modification of Vascular Graft Surfaces for Increased Cellular Adhesion and Growth, February 1990 through January 1991.
36. Principal Investigator, Polymer Technology Corp., Evaluation of Monomers for Contact Lens Applications, June 1990 through November 1990.
37. Principal Investigator, International Business Machines, Adverse Process Chemistry Related to Solvent - Electronic Materials Interactions", January 1990 through June 1991.
38. Principal Investigator, Medtronic, Inc., "Surface Modifications for Increased Cellular Adhesion and Growth", February 1991 through March 1992.
39. Principal Investigator, Metaphase Corp., "UV Polymerizable Monomer Formulations", June 1991 through October 1993.
40. Principal Investigator, Digital Equipment Corporation, "Hydropolysilanes Photopolymer for Electroless Metal Resists", July 1991 through May 1992.
41. Principal Investigator, Presstek, Inc., "Evaluation of Electro Optical Properties of Polysilanes", February 1992 through January 1993.
42. Principal Investigator, International Business Machines, "Supercritical Fluid Interactions with Polymers", March 1992 through June 1993.
43. Principal Investigator, Toxics Use Reduction Institute, "Supercritical Fluid Interactions with Polymers", February 1992 through December 1992.
44. Principal Investigator, Biomat, S.N.C., "Plasma Treatment of Polymers for Increased Protein Adhesion", June 1992 through February 1993.
45. Principal Investigator, Los Alamos National Laboratory, "Interactions of Supercritical Fluids with Polymeric Materials", June 1993 through September 1993.
46. Principal Investigator, Los Alamos National Laboratory, "Material Interactions with Supercritical Fluids", October 1993, through September 1994.
47. Principal Investigator, BioPolymerix, "Evaluation of Novel Biomaterials", September 1994 through September 1996.
48. Principal Investigator, Los Alamos National Laboratory, "Novel Technologies Based Upon Supercritical Fluid Processes", October 1994 through September 1995.

49. Principal Investigator, Massachusetts Department of Environmental Protection, "Use of Supercritical Carbon Dioxide for Cleaning Contaminated Plastic Wastes", November 1994 through June 1995.
50. Principal Investigator, Los Alamos National Laboratory, "Novel Technologies Based Upon Supercritical Fluid Processing of Materials", October 1995 through September 1996.
51. Principal Investigator, Los Alamos National Laboratory, "Polymer Filtration Technology", October 1995 through September 1996.
52. Principal Investigator, Isotag, Inc., "Polymeric Materials for Tagants", January 1996 December 1996.
53. Principal Investigator, Millipore Corp., "NMR Analysis of Membrane Materials", October 1995 through September 1996.
54. Principal Investigator, Los Alamos National Laboratory, "Metal Complexation by Polymers", May 1996 through September 1996.
55. Principal investigator, Cambridge Heart, "Hydrogels for Biomedical Applications", October 1996 through December 1997.
56. Pricipal Investigator, Synteni, "Novel Chemistries for DNA Binding", November 1996 through December 1997.
57. Principle Investigator, Department of Energy, "Metal Chelation Polymers", February 1997 through January 2000.